



Substitute for 16 CFR 1419B/PTO TRADEMARK GENIE

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

				Complete if Known	
Application Number				10/815,222	
Filing Date				March 31, 2004	
First Named Inventor				Andrew Ginter, et al.	
Art Unit				2154	
Examiner Name				Not Yet Assigned <i>V. Vu</i>	
Sheet	1	of	1	Attorney Docket Number	VRS-00101

NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
<i>V.V</i>	AA	Amazon.com Book Search Results for Snort 2.0 Intrusion Detection, February 2003 (JAY BEALE, JAMES C. FOSTER, JEFFREY POSLUNS and BRIAN CASWELL, Syngress Publishing, Inc., Rockland, MA, ISBN: 1-931836-74-4)	<input type="checkbox"/>
			<input type="checkbox"/>

Examiner Signature	<i>V. Vu</i>	Date Considered	2-6-06
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Substitute for form 1449A/PTO				<i>Complete if Known</i>	
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				Art Unit	Not Yet Assigned 2154
				Examiner Name	Not Yet Assigned V. Vn
Sheet	1	of	6	Attorney Docket Number	VRS-00101

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
VV		US-6,219,719 B1	Apr. 17, 2001	Lars Oliver Graf	
VV		US-6,212,581 B1	Apr. 3, 2001	Lars O. Graf	
VV		US-5,619,656	Apr. 8, 1997	Lars O. Graf	
		US-			

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)			
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3727357v1 *Did not receive C and K of Non-patent Documents*

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				Art Unit	Not Yet Assigned
				Examiner Name	Not Yet Assigned
Sheet	2	of	6	Attorney Docket Number	VRS-00101

NON-PATENT LITERATURE DOCUMENTS					
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W	A	SnortUsers Manual, Copyright ©1998-2003 MARTIN ROESCH, Copyright ©2001-2003 CHRIS GREEN, Copyright © 2003 Sourcefire, Inc. – http://www.snort.org/docs/snort_manual			<input type="checkbox"/>
W	B	Arpwatch.txt, 4 th Berkeley Distribution, October 8, 2000, Arpwatch(8), ftp://ftp.ee.lbl.gov/arpwatch.tar.gz			<input type="checkbox"/>
W	C	RTAP FOR UNIX® Integrator's Guide, August 2000, Copyright ©1998-2000 Hewlett-Packard (Canada) Ltd.			<input type="checkbox"/>
W	D	Linux Journal - Issue 117: Monitoring Hard Disks with SMART, posted on January 1, 2004 by BRUCE ALLEN, www.linuxjournal.com/article.php?sid=6983			<input type="checkbox"/>
W	E	Smartmontools Home Page, maintained by BRUCE ALLEN, Copyright ©2002-2004, http://smartmontools.sourceforge.net/			<input type="checkbox"/>
W	F	RFC 1700: Assigned Numbers by J. REYNOLDS and J. POSTEL, October 1994, ISI, www.cse.ohio-state.edu/cgi-bin/rfc/rfc1700.html			<input type="checkbox"/>
W	G	RFC 826: An Ethernet Address Resolution Protocol or Converting Network Protocol Addresses to 48.bit Ethernet Address for Transmission on Ethernet Hardware Abstract by DAVID C. PLUMMER, November 1982, www.cse.ohio-state.edu/cgi-bin/rfc/rfc0826.html http://smartmontools.sourceforge.net/			<input type="checkbox"/>
W	H	SMARTD.CONF – SMART Disk Monitoring Daemon Configuration File (online help describing the SMART monitoring tools project), http://smartmontools.sourceforge.net/man/smard.conf.5.html			<input type="checkbox"/>
W	I	SMARTD – SMART Disk Monitoring Daemon (online help describing the SMART monitoring tools project), http://smartmontools.sourceforge.net/man/smard.8.html			<input type="checkbox"/>
W	J	SMARTCTL – Control and Monitor Utility for SMART Disks (online help describing the SMART monitoring tools project), http://smartmontools.sourceforge.net/man/smard.8.html			<input type="checkbox"/>

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<i>no printed copy</i>	K	Snort 2.0 Intrusion Detection, 2003, by JAY BEALE, JAMES C. FOSTER, JEFFREY POSLUNS and BRIAN CASWELL, Syngress Publishing, Inc., Rockland, MA, ISBN: 1-931836-74-4			<input type="checkbox"/>
<i>vv</i>	L	Hardware Monitoring by lm_sensors, Copyright © 1999-2004 The Lm_sensors Group, http://secure.netroedge.com/~lm78			<input type="checkbox"/>
<i>vv</i>	M	Sensors FAQ for lm_sensors version 2.12, Copyright © 1998-2004, FRODO LOOIJARD, PHILIP EDELBROCK and MARK STUDEBAKER, http://www2.lm-sensors.nu/~lm78/cvs/lm_sensors2/doc/lm_sensors-FAQ.html			<input type="checkbox"/>
<i>vv</i>	N	Tripwire.org, http://www.tripwire.org/ Tripwire Open Source, Linux Edition FAQ, http://www.tripwire.org/qanda/index.php Downloads, http://www.tripwire.org/downloads/index.php			<input type="checkbox"/>
<i>vv</i>	O	The Design and Implementation of Tripwire: A File System Integrity Checker by GENE H. KIM and EUGENE H. SPAFFORD, © 1994 ACM			<input type="checkbox"/>
<i>vv</i>	P	<u>fverify(8)</u> , http://h30097.www3.hp.com/docs/base_doc/DOCUMENTATION/V51_HTML/MAN/INDEXES/INDEX_F.HTM			<input type="checkbox"/>
<i>vv</i>	Q1	RTAP - Increasing Visibility and Return on Real-time Information with a Mission Critical Supervisory Platform by Verano, Inc. © Copyright 2003, http://www.verano.com/general_downloads/rtap_overview.pdf			<input type="checkbox"/>
<i>vv</i>	Q2	RTAP™ Technical Overview, http://www.verano.com/general_downloads/RTAP_TechDataSheet_July04.pdf			<input type="checkbox"/>
<i>vv</i>	R	Open – Proven network and security threat management – Products http://www.open.com/products/systemwatch/sys_architecture.shtml http://www.open.com/products/systemwatch/sys_arch_console.shtml http://www.open.com/products/systemwatch/sys_arch_agent.shtml © 2000 – 2004 OpenService, Inc.			<input type="checkbox"/>

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vv	S	Open - Proven network and security threat management – Enterprise Security Information Management, Event Correlation and Log Analysis, http://www.open.com/index_core.htm			<input type="checkbox"/>
vv	T	Open - Proven network and security threat management – Solutions, http://www.open.com/solutions/solutions.shtml			<input type="checkbox"/>
vv	U	Open - Proven network and security threat management – What is Event Correlation, http://www.open.com/solutions/correlation.shtml			<input type="checkbox"/>
vv	V	Open - Proven network and security threat management – Correlation Semantics – What's in a Name, http://www.open.com/solutions/SimSemantics.shtml			<input type="checkbox"/>
vv	W	Open - Proven network and security threat management – Overcoming the Barriers to Successful Security Event Management, http://www.open.com/solutions/successful_SEM.shtml			<input type="checkbox"/>
vv	X	Open - Proven network and security threat management – What's Magic About Security Event Correlation, http://www.open.com/solutions/magicquadrant.shtml			<input type="checkbox"/>
vv	Y	Open - Proven network and security threat management – Case Study: Countrywide Home Loans, http://www.open.com/solutions/countrywide.shtml			<input type="checkbox"/>
vv	Z	Open - Proven network and security threat management – Case Study: Stadtwerke Dusseldorf AG, http://www.open.com/solutions/stadtwerke.shtml			<input type="checkbox"/>
vv	AA	Open - Proven network and security threat management – Products – Enterprise Security Information Management and Threat Correlation, http://www.open.com/products/products.shtml			<input type="checkbox"/>
vv	AB	Open - Proven network and security threat management – Partners – Introducing Security Threat Manager/Surveyor™, http://www.open.com/partners/partners_surveyor.shtml			<input type="checkbox"/>
vv	AC	Open - Proven network and security threat management – Security Threat Manager™ v2.0, http://www.open.com/products/threatmanager/STM2_FullLog.shtml			<input type="checkbox"/>

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vv	AD	Open - Proven network and security threat management - Products - Open NerveCenter Overview, http://www.open.com/products/nervecenter/nervecenter.shtml			<input type="checkbox"/>
w	AE	Open - Proven network and security threat management - News - OpenService Appoints Michael Ruffolo to Board of Directors, http://www.open.com/news/040719.shtml			<input type="checkbox"/>
vv	AF	Open - Proven network and security threat management - News - Press Releases, http://www.open.com/news/releases.shtml			<input type="checkbox"/>
vv	AG	Open - Proven network and security threat management - News - Press Coverage Archive, http://www.open.com/news/coverage.shtml			<input type="checkbox"/>
vv	AH	Open - Proven network and security threat management - News - Seminars, http://www.open.com/news/events.shtml			<input type="checkbox"/>
vv	AI	Open - Proven network and security threat management - News - Newsletter Information, http://www.open.com/news/newsletters.shtml			<input type="checkbox"/>
vv	AJ	Open - Security Threat Manager™ At Work			<input type="checkbox"/>
vv	AK	Open - Network Security Management - Active Event Monitoring and Management of Your Security Infrastructure, © 2002 OpenService, Inc.			<input type="checkbox"/>
vv	AL	SELinux Documentation, http://www.nsa.gov/selinux/info/docs.cfm			<input type="checkbox"/>
vv	AM	Integrating Flexible Support for Security Policies into the Linux Operating System by PETER LOSCOCCO and STEPHEN SMALLEY, FREENIX Track: 2001 USENIX Annual Technical Conference June 2001			<input type="checkbox"/>
vv	AN	Meeting Critical Security Objectives with Security-Enhanced Linux by PETER A. LOSCOCCO and STEPHEN D. SMALLEY, 2001 Ottawa Linux Symposium			<input type="checkbox"/>
vv	AO	Securing the X Window System with SELinux by DOUG KILPATRICK, WAYNE SALAMON and CHRIS VANCE, initial version: January 2003, revised version: March 2003			<input type="checkbox"/>

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✓✓	AP	Configuring the SELinux Policy by STEPHEN SMALLEY, initial version: February 2002, revised version: January 2003			<input type="checkbox"/>
✓✓	AQ	Implementing SELinux as a Linux Security Module by STEPHEN SMALLEY, CHRIS VANCE and WAYNE SALAMON, initial version: December 2001, revised version: May 2002			<input type="checkbox"/>
✓✓	AR	Integrating Flexible Support for Security Policies into the Linux Operating System by PETER LOSCOCCO and STEPHEN SMALLEY, first published: December 2000, last revised: February 2001			<input type="checkbox"/>
✓✓	AS	A Security Policy Configuration for the Security-Enhanced Linux by STEPHEN SMALLEY and TIMOTHY FRASER, first published: December 2000, last revised: February 2001			<input type="checkbox"/>
✓✓	AT	2003 Ottawa Linux Symposium BOF Presentation by STEPHEN D. SMALLEY, 2003 Ottawa Linux Symposium SELinux "Birds of a Feather" Session			<input type="checkbox"/>
✓✓	AU	Security-Enhanced Linux 2001 Kernel Summit Presentation by PETER A. LOSCOCCO, 2001 Linux 2.5 Kernel Summit			<input type="checkbox"/>
✓✓	AV	Press Releases: OpenService Announces Security Threat Manager Version 2, http://www.open.com/news/releases.jsp?file=031210.jsp			<input type="checkbox"/>
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